

Kinship-based politics and the optimal size of kin groups

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Kin form important political groups, which change in size and relative inequality with demographic shifts. Increases in the rate of population growth increase the size of kin groups but decrease their inequality and vice versa. The optimal size of kin groups may be evaluated from the marginal political product (MPP) of their members. Culture and institutions affect levels and shapes of MPP. Different optimal group sizes, from different perspectives, can be suggested for any MPP schedule. The relative dominance of competing groups is determined by their MPP schedules. Groups driven to extremes of sustainability may react in Malthusian fashion, including fission and fusion, or in Boserupian fashion, altering social technology to accommodate changes in size. The spectrum of alternatives for actors and groups, shaped by existing institutions and natural and cultural selection, is very broad. Nevertheless, selection may result in survival of particular kinds of political structures.

demography | selection

Demographic fluctuations affect the composition of kin groups (1). Positive shocks increase numbers of kin per person but decrease inequality of kin distributions and vice versa. Kin are the main source of political support and action in the small societies that characterized >90% of human history and still predominate in many societies, including segments of larger societies. Fluctuations in the mean supply of kin and the inequality of kin distributions give political actors opportunity to consolidate their positions and to select or alter cultural institutions that give advantage. Demographically induced fluctuations in kinship distributions may have been important environmental factors in natural and institutional selection as well as in particular historical events. However, any intrinsic directionality in selection is subject to existing local institutional and environmental factors.

This article presents a formal model of kinship factors, first focusing on a microeconomic analog, and then taking a broader macroeconomic and ecological perspective. It then discusses some ethnographic examples and offers conjectures on implications for the development of political institutions.

A Formal Model of Kinship Politics

Each political actor is a member of a kin group. Each kin group can have from zero to some number of additional persons. Any member can be thought of as the principal actor in the group, or the group can be thought of as an entity. Each group is, in principle, nested, with superordinate levels at greater collaterality. The model is unilineal, agnatic, and segmentary.[†] Thus, a set of agnatic male second cousins may consist of more than one set of agnatic male first cousins, which may consist of more than one set of brothers. At any level, groups are assumed to be mutually exclusive; for example, Ego cannot belong to more than one set of agnatically related brothers. At any level, groups may compete for dominance, regardless of whether they are subsumed under a broader group; for example, two sets of brothers may compete, whether or not they are jointly cousins. Competition may occur across levels; for example, a set of brothers may compete with a set of cousins unrelated to them. Competition

between subsets of equivalent level in different supersets implicates any other subsets of those supersets. Thus, if *A* has a brother *B* and two cousins, *C* and *D*, and if *A* disputes with *C*, *B* and *D* are the allies of *A* and *C*, respectively. An appropriate analogy to segmentary organization is the familiar taxonomic diagram. This model is used partly for convenience but also because males have been the principal political actors in most societies, and most societies display an agnatic bias. However, not all such societies are strictly segmentary.

There are several key questions about kin-based political groups.

- Demographic conditions change their size and the inequality of their distribution. What is the calculus of optimality? Under what demographic conditions and consequent kinship distributions are political outcomes changed, and in what way, and for whom? What are the implications for institutional change?
- What are the implications of different schedules of MPP for kin group dominance?
- What are the potential demographic and technological responses to changes in political position?

I approach these questions, inspired by Chayanov (2–4), Sauvy (5), and Lee (6).

The Microeconomics of Kinship Politics

I build the argument based on ideas of marginal productivity, declining returns, and economies of scale. By analogy with the marginal product of labor (MPL), imagine a marginal political product (MPP) equal to the worth of the next ally. MPP can take many forms. It can be the number of stones thrown, the number of accurate arrows launched, the intimidation of group size, or power or ritual dominance. The shape of the schedule of MPP will depend on many factors. Just as the level of MPL depends on resources and the technology of exploitation, the level of MPP depends on the political environment and on the social technology (“culture” and “institutions”) that govern expectations of support between persons and control of their behavior. Emphasis here is more on shape than on level. As kin increase, MPP will increase in response to economies of scale and then decrease with diminishing returns. For example, in tribal or clan warfare, the prospect of success is enhanced by a larger number of allies and further enhanced by the ability to coordinate action, but it will be eroded if numbers reach a level at which coordination with limited means of communication becomes ever more difficult and the moral imperative to assist kin weakens. There are also costs. These costs are likely to be those of reciprocal

Abbreviations: MPP, marginal political product; APP, average political product; TPP, total political product.

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[†]Definitions are as follows: lineal, related by direct ascent/descent; unilineal, lineal through one sex only; collateral, related through a sibling; agnatic, related only through males; uterine, related only through females; cognatic, related through males or females. First cousins have a common grandparent; second cousins have a common great-grandparent. “Segmentary” means that living kin are grouped according to the generational level of their common ancestor.

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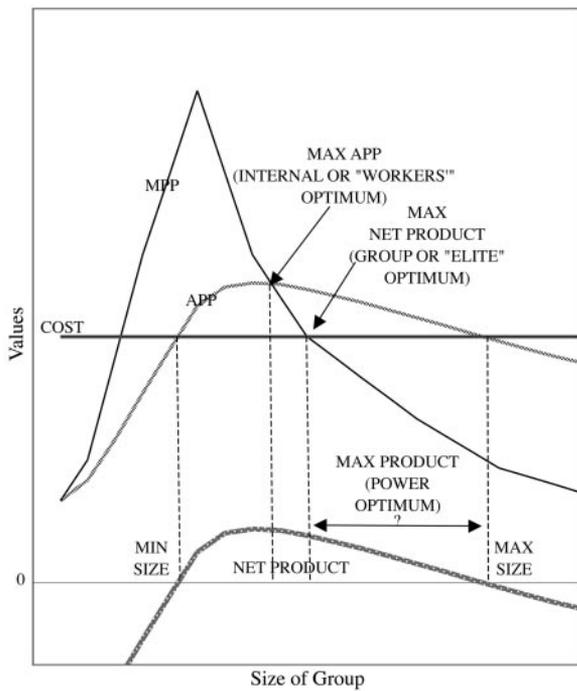


Fig. 1. Per capita value of allies in a kinship polity.

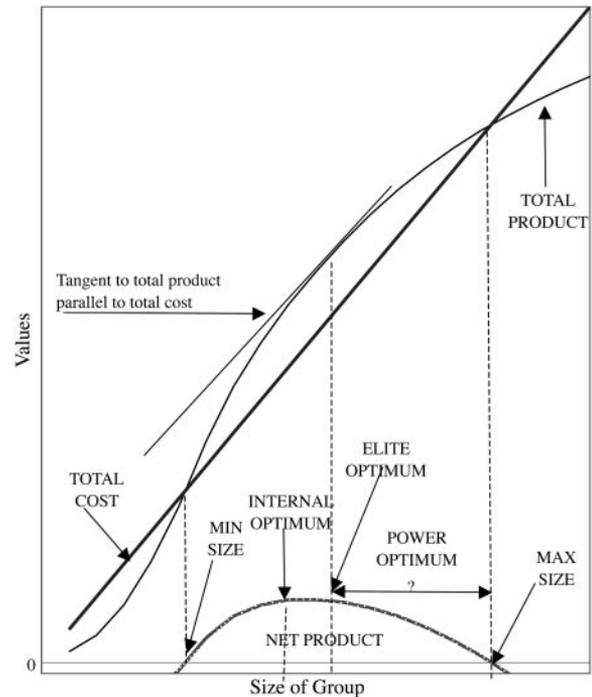


Fig. 2. Total value of allies in a primitive polity.

assistance when a supporter of Ego today becomes the organizer of his own foray at a later time. In a tribal tributary system, the flow of tithes to the chief may be reciprocated by feasting of the subordinates. Costs and benefits may be different goods, so that calculation of net benefits is difficult for lack of a common currency. In political life, the calculation of net benefits is always difficult. Notions of sufficiency and reciprocity are vague, even if powerful. In simple polities, the problem is easier; still, the comparison of an enemy scalp with a haunch of venison will remain cultural, intuitive, and problematic. Only in the simplest situations, in which political action is pure labor, can the costs be thought of as the direct disutility of effort. We will assume a moral currency.

These costs (analogous to the wage) are treated for simplicity as a linear function of the number of kin, although cost might change nonlinearly because of density effects.

I proceed now, generally following Sauvy, to propose that perspective-dependent optima of different sizes can be specified, based on some plausible schedule of MPP and a level of per capita costs. It does not seem useful to make the customary distinctions among land, labor, and capital. "Land" could be construed as the territory of constituents or supporters and "capital" as the store of past favors granted. However, in this simple exposition, the number of supporters is part of the model, territory is likely to be a function of the number of supporters, and support exchange constitutes cost. All seem mutable into labor. I therefore focus on political labor as such. Fig. 1 shows the results from a per capita viewpoint. The abscissa is the number of kin in a group. The ordinate is an arbitrary scale of value. MPP rises rapidly and then declines more slowly. Average political product (APP) necessarily rises more slowly than at the margin and continues to rise after MPP falls, until MPP intersects it. At that point, APP also begins to fall. Cost is subtracted from APP to give the net product. If this net is less than zero, the group cannot exist; thus, there is a lower size bound at the left and an upper one at the right. The optima must lie between these limits. One optimum is at the maximum of APP, where the average value of all allies is maximal. (In Sauvy's scheme, this

point is the "workers' optimum.") The next optimum is the point at which MPP falls below cost. The marginal ally at this point is not worth his salt. The political leader, or the group of allies jointly, might prefer not to have him because he costs more than he is worth. This point is the leader's optimum (Sauvy's "elite optimum") or the optimum of the group as a unit. Beyond this optimum, marginal allies continue to make a contribution greater than zero up to some point. For part of that range, APP is greater than cost, and the net product is greater than zero. If the size of the group extends into this range, its political strength continues to grow, although at greater cost. In Sauvy's terms, the "power optimum" lies within this range. It is worth it if you want to pay for it, but the marginal net benefit is decreasing.

A comparable view from the group perspective rather than that of individuals shows total rather than per capita values (Fig. 2). Cost increases linearly (by assumption). The total product rises rapidly, then more slowly. The value of net product rises and then falls. The key to understanding is the total political product (TPP) and the net surplus. At the left, where total product is below total cost, the political group is not viable. There is a matching point at the right. In between, there is a point defined by the peak of total surplus: the leader's or group optimum, where the group gets the most return for its costs. Beyond this point, up to the maximum limit, political power does increase but at decreasing efficiency up to the maximum sustainable limit.

All of these factors are driven by the shape of MPP. Fig. 3 shows several plausible curves of MPP. Up to $N \approx 3$, $A > B > D > E > C$. A and B then begin to decline, with B eventually dominating A . D and E dominate where $4 < N < 10$ and then fall again below A and B . C eventually dominates all. Curve E is particularly relevant to our exploration because of the sharp drop just after the start of the decline. A discontinuity of this kind might be expectable if, in some kin group, moving from N to $N + 1$ allies crossed a boundary of collaterality (for example, from brothers to cousins, for whom the moral imperative of support might be less). This complication is especially important in segmentary systems.

